

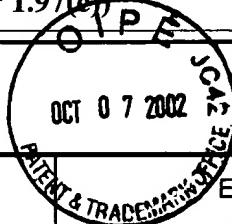
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
YOR920000768US1(14069)

In Re Application Of: **Debra L. Biebesheimer, et al.**

10-16-02



Serial No.
09/778,378

Filing Date
February 7, 2001

Examiner
Unknown

Group Art Unit
2171

Title: **CUSTOMER SELF SERVICE SUBSYSTEM FOR CLASSIFYING USER CONTEXTS**

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37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

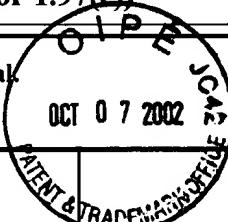
c. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);
OR
 the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0510/IBM as described below. A duplicate copy of this sheet is enclosed.

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Signature

Dated: October 4, 2002

Steven Fischman

Registration No. 34,594

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CC:



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Debra L. Biebesheimer, et al.

Examiner: Unknown

Serial No.: 09/778,378

Art Unit: 2171

Filed: February 7, 2001

Docket: YOR920000766US1(14069)

For: CUSTOMER SELF SERVICE SUBSYSTEM
FOR CLASSIFYING USER CONTEXTS

Dated: October 4, 2002

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Assistant Commissioner for Patents
Washington, DC 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,446,891, issued August 29, 1995 to Kaplan, et al.;
2. U.S. Patent No. 5,826,260, issued October 20, 1998 to Byrd, Jr., et al.;
3. Billsus, D., et al., "A learning agent for wireless news access," Proceedings of IUI 2000: International Conference on Intelligent User Interfaces, ACM, 9-12 January 2000, pp. 33-36, XP-002205011;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on October 4, 2002.

Dated: October 4, 2002

Mishelle Mustafa

4. Olsen, K., et al., "VISUALIZATION OF A DOCUMENT COLLECTION: THE VIBE SYSTEM", Information Processing & Management, Elsevier, Barking, GB, vol. 29, no. 1, 1993, pp. 69-81, XP 000574984;
5. Mladenic, D, "Text-learning and related intelligent agents: a survey", IEEE INTELLIGENT SYSTEMS, IEEE, vol. 14, no. 4, July 1999 (1999-07), pp. 44-54, XP-002205012;
6. Göker, A., "Capturing Information Need by Learning User Context", 16TH INTERNATIONAL JOINT CONFERENCE IN ARTIFICIAL INTELLIGENCE: LEARNING ABOUT USER WORKSHOP, 31 July 1999 (1999-07-31), pp. 21-27, XP-002205013;
7. ANONYMOUS, "Taxonomized Web Search", IBM TECHNICAL DISCLOSURE BULLETIN, IBM CORP. NEW YORK, US, vol. 40, no. 5, 1 May 1997 (1997-05-01), pp. 195-196, XP-002133594; and
8. Davies, J., et al., "KNOWLEDGE DISCOVERY AND DELIVERY", BRITISH TELECOMMUNICATIONS ENGINEERING, LONDON, GB, VOL. 17, NO. 1, 1 April 1998 (1998-04-01), pp. 25-35, XP-000765546.

The references were cited in a Search Report received from the European Patent Office, dated July 23, 2002, however, are being submitted before issuance of a first Office Action on the merits per 37 C.F.R. §1.97(b)(3). Applicants are submitting a copy of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references have been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,



Steven Fischman
Registration No. 34,594

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